



COPY OF PAPERS
ORIGINALLY FILED

2161 #4
131
4-24-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Gordon Good, et al.
SERIAL NO.: 09/993,938
FILED: November 6, 2001
TITLE: UPDATE RESOLUTION PROCEDURE FOR A DIRECTORY SERVER

ART UNIT: 2161
EXAMINER:

Assistant Commissioner for Patents
Washington, DC 20231

RECEIVED

MAR 21 2002

Technology Center 210

INFORMATION DISCLOSURE STATEMENT

Pursuant to their duty of good faith and candor as set forth in 37 CFR 1.56(a), Applicants request that the reference(s) cited on attached form PTO-1449 (copies of which are enclosed) be considered by the Examiner in connection with the search of the prior art required by 37 CFR 1.104. This Statement is being filed under one of the following provisions of § 1.97:

[XX] This Statement is being filed under 37 CFR 1.97(b) prior to the latest of: (1) three months from the filing date of this Application; (2) three months after entry of the national stage in the case of an international application; (3) the mailing date of a first Office Action on the merits; or (4) before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

[] This Statement is being filed under 37 CFR 1.97(c) after the period specified in § 1.97(b) but prior to the earlier of: (1) a final Office Action under § 1.113; (2) a Notice of Allowance under § 1.113; or (3) an action that otherwise closes prosecution in the application. This Statement is accompanied by either:

- [] the fee set forth in § 1.17(p); or
- [] the statement specified in § 1.97(e).

[] This Statement is being filed under 37 CFR 1.97(d) after the period specified in § 1.97(c) but prior to or with payment of the issue fee. This Statement is accompanied by:

- (1) the statement specified in § 1.97(e); and
- (2) the fee set forth in § 1.17(p).

Applicants believe no petition is necessary; however, if this belief is incorrect, please consider this paper as such.

Applicants believe there is no fee due for the filing of this paper. If, however, Applicants are in error regarding the fee, the Commissioner is hereby authorized to charge Deposit Account 50-0591 of Rosenthal & Osha L.L.P. under Order No. 13220.009001 for any fees and/or credits during the entire pendency of this application.

Respectfully submitted,

Date:

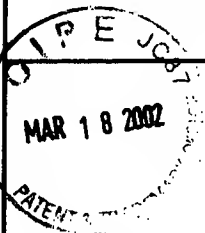
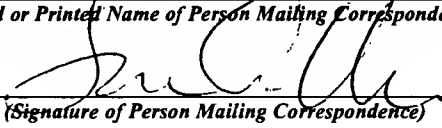
3/7/02



Jonathan P. Osha, Reg. No. 33,986

ROSENTHAL & OSHA L.L.P.
One Houston Center, Suite 2800
1221 McKinney Street
Houston, Texas 77010

Telephone No.: (713) 228-8600
Facsimile No.: (713) 228-8778

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8) Applicant(s): Gordon Good, et al.			Docket No. 13220.009001; P5844
Serial No. 09/993,938	Filing Date November 6, 2001	Examiner	Group Art Unit 2161
Invention: UPDATE RESOLUTION PROCEDURE FOR A DIRECTORY SERVER			
		COPY OF PAPERS ORIGINALLY FILED	
<p>I hereby certify that this <u>Information Disclosure Statement along with required papers</u> (Identify type of correspondence)</p> <p>is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on <u>March 7, 2002</u> (Date)</p> <p><u>Grace A. Wise</u> (Typed or Printed Name of Person Mailing Correspondence)</p> <p> (Signature of Person Mailing Correspondence)</p>			
<p>Note: Each paper must have its own certificate of mailing.</p>			

SUBSTITUTE FOR U.S. DEPARTMENT OF COMMERCE FORM 1449 Patent and Trademark Office (08-00) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				<i>Complete if Known</i>		
				Application Number	09/993,938	
				Filing Date	November 6, 2001	
				First Named Inventor	Gordon S. Good	
				Group Art Unit	2161	
Examiner Name						
Attorney Docket Number	13220.009001; P5844					
Sheet	1	of	1			

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.	Office	Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.	References	T**
	A1	NETSCAPE COMMUNICATIONS CORPORATION; "Chapter 12 – Managing Replication"; Administrator's Guide – Netscape Directory Server, Version 4.0; 1998; pp. 299-328; Netscape Communications Corporation, Mountain View CA, USA	
	A2	NETSCAPE COMMUNICATIONS CORPORATION; "Chapter 7 – Planning Replication"; Deployment Guide – Netscape Directory Server, Version 4.0; 1998; pp. 93-115; Netscape Communications Corporation, Mountain View CA, USA	
	A3	DOUGLAS B. TERRY, KARIN PETERSEN, MIKE J. SPREITZER, and MARVIN M. THEIMER; "The Case for Non-transparent Replication: Examples from Bayou"; pp. 1-10; Computer Science Laboratory, Xerox Palo Alto Research Center, Palo Alto, CA; USA	
	A4	ED REED, ELLEN STOKES, and RUSSEL WEISER; "LDAP Replication Architecture"; www.stanford.edu/hodges ; April 17, 1998; pp. 1-11; The Internet Society; California; USA	

26579_1.DOC

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

** Applicant is to place a check mark here if English language is present or an English Translation or Abstract is attached.